



MTS-3237US

#3  
4-14-04  
PATENT  
X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/811,682  
Applicant: Yuji Fujiwara et al.  
Filed: March 19, 2001  
Title: SIGNAL RECORDING APPARATUS AND METHOD, SIGNAL REPRODUCING APPARATUS AND METHOD, MEDIUM, AND INFORMATION ASSEMBLY

TC/A.U.: 2615  
Examiner: Thai Q. Tran  
Confirmation No.: 9387  
Docket No.: MTS-3237US

INFORMATION DISCLOSURE STATEMENT

RECEIVED

APR 09 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

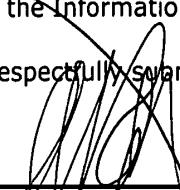
In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). ). A statement, however, is provided herewith:

**STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

AR/fp

Enclosures: European Search Report  
PTO Form 1449 (2 sheets)  
Copies of (4) References

Dated: April 6, 2004

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

April 6, 2004

  
\_\_\_\_\_  
Fran Petruillo



PTO/SB/08A (AW 4/25/03) (04-03)

Approved for use through 04/30/2004. OMB 0651-0031

Approved for use through 04/03/2004. GMD 0031-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Institute for Form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>	<b>Complete if Known</b>	
	Application Number	<b>09/811,682</b>
	Filing Date	<b>March 19, 2001</b>
	First Named Inventor	<b>Yuji Fujiwara et al.</b>
	Art Unit	<b>2615</b>
	Examiner Name	<b>Thal Q. Tran</b>
<b>SHEET 1 of 2</b>	Attorney Docket No.	<b>MTS-3237US</b>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	----------------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**1**Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

**5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.**

**6**Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



APR 08 2006

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**

Approved for use through 04/30/2004. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
a collection of information unless it displays a valid OMB control number.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

 <b>Information Disclosure Statement</b> for Form 1449/PTO	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	
(Use as many sheets as necessary)	
SHEET 2 of 2	
<b>Complete if Known</b>	
Application Number	<b>09/811,682</b>
Filing Date	<b>March 19, 2001</b>
First Named Inventor	<b>Yuji Fujiwara et al.</b>
Art Unit	<b>2615</b>
Examiner Name	<b>Thai Q. Tran</b>
Attorney Docket No.	<b>MTS-3237US</b>

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**1Applicant's unique citation designation number (optional).**

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and



APR 08 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number **09/811,682**Filing Date **March 19, 2001**First Named Inventor **Yuji Fujiwara et al.**Art Unit **2615**Examiner Name **Thal Q. Tran**Attorney Docket No. **MTS-3237US Technology Center 2600**Total Number of Pages in This Submission **9 + 4 references****RECEIVED**

APR 08 2004

**ENCLOSURES (Check all that apply)**

- Fee Transmittal Form  
 Fee Attached
- Amendment/Reply  
 After Final  
 Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/  
Incomplete Application
- Response to Missing Parts under  
37 CFR 1.52 or 1.53

- Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a  
Provisional Application  
 Power of Attorney, Revocation,  
Change of Correspondence  
Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication  
to Group  
 Appeal Communication to Board  
of Appeals and Interferences  
 Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please  
identify below):

**Return Postcard**  
**PTO Form 1449, 4 references,**  
**European Search Report**

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or  
Individual  
Name  
Signature

**Allan Ratner**

Registration No. (Attorney/Agent)

**19,717**

Date

**April 6, 2004****CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or  
printed name**Fran Petrillo**

Signature

Date

**April 6, 2004**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.